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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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EXAMINER

NGUYEN, PHUOC H

ART UNIT PAPER NUMBER

2143

DATE MAILED: 11/30/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/437,496

Applicant(s)

INOUE ET AL.

Examiner

Phuoc H. Nguyen

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on August 6, 2004
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-12, 15-21, and 24 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-12, 15-21 and 24 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- ☒ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- ☐ Notice of Informal Patent Application (PTO-152)
- ☐ Other: \_\_\_\_\_

## **DETAILED ACTION**

### ***Request for Continued Examination***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114.
2. Amendment filed on August 6, 2004 have been entered and made of record.
3. Claims 1-12,15-21, and 24 remain pending.

### ***Response to Amendment***

4. This office action is in response to the applicants Amendment filed on August 6, 2004. Claims 1 and 15-20 have been amended, claims 13,14,22, and 23 have been cancelled and claim 24 have been added. Claims 1-12,15-21, and 24 are presented for further consideration and examination.
5. Applicant's arguments with respect to claims 1, and 15-20 have been considered but are moot in view of the new ground(s) of rejection.

## **DETAILED ACTION**

### ***Claim Rejections - 35 USC § 112***

1. The following is a quotation of the second paragraph of 35 U.S.C. 112:  
  
The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

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2. Claims 1,15,17-20 rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
3. Referring claims 1,15,17-20 the limitation "without using the user interface" is unclear how the setup data or input data enter into the portable terminal device whether the setup data or input data enter into the portable terminal device by the push/pull technology, automatically, or by the voice activation command. For examining purposes the examiner consider the setup data or input enter is automatically enter into the portable terminal device from the computer device.

***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.
5. Claims 1-12,17,19, and 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wicks et al. (Hereafter, Wicks) U.S. Patent 5,796,394 in view of Schwartz et al. (Hereafter, Schwartz) U.S. Patent 6,243,739.
6. Referring to claims 1,17, and 19, Wicks reference disclose a network connection unit configured to establish a connection to a computer network (Fig. 1; and col. 5, lines 10-13); a local network connection unit configured to establish a connection to a local network different from the computer network, the local network being a network locally

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defined among the portable terminal device and at least one other computer device (Fig. 1); and a processing unit (CPU inside mobile 110); however, Wicks fails to teach a processing unit configured to obtain a prescribed information regarding the computer network that is necessary or utilizable in operating an application program to be operated on the portable terminal device by being connected to the computer network and that is setup data or input data for the application program, from said at least one other computer device connected to the portable terminal device via the local network through the local network connection unit, carry out a prescribed processing to enter the setup data or the input data into the portable terminal device in a form utilizable by application program, without using the user interface, according to at least a part of the prescribed information, and execute the application program by using a result of the prescribed processing and being connected to the computer network through the network connection unit.

Schwartz teaches processing unit configured to obtain a prescribed information regarding the computer network (e.g. communication between the portable device 11 and server 51 according the figure) that is necessary or utilizable in operating an application program (e.g. browser) to be operated on the portable terminal device by being connected to the computer network (e.g. portable device 11 obtain information from the server 51) and that is setup data or input data (e.g. information) for the application program, from said at least one other computer device (e.g. computer 31) connected to the portable terminal device via the local network through the local network connection unit (e.g. the portable device 11 received the information from the server 51 through the computer 31), carry out a prescribed processing to enter the setup data or the input data into the portable terminal device in a form utilizable (e.g. computer 31 received the HTTP information

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from the server 51 and automatically translated the HTTP information into HDTP information which is utilizable from the portable device) by application program, without using the user interface, according to at least a part of the prescribed information, and execute the application program by using a result of the prescribed processing and being connected to the computer network through the network connection (col. 6, lines 35-44).

It would have been obvious to one of the ordinary skill in the art at the time of the invention was made to incorporate Shewartz's teaching into Wicks's method to enter the setup data or the input data into the portable terminal device in a form utilizable by the application program, without the user interface, as a result, it reduces the bandwidth requirements of the communication channel and reduces the processing required by remote device 11 to parse and interpret the information.

7. Referring to claim 2, Wicks reference discloses the processing unit carries out the prescribed processing to make a prescribed setup regarding the computer network (col. 3, lines 52-67).

8. Referring to claim 3, Wicks reference disclose the processing unit makes the prescribed setup by storing said at least a part of the prescribed information into a prescribed memory region for the application program, either as obtained from said at least one other computer device or by converting said at least a part of the prescribed information into a format matching with the application program and/or a user interface of the portable terminal device (col. 2, lines 59 through col. 3, lines 13).

9. Referring to claim 4, Wicks reference disclose the processing unit obtains-the prescribed information related to a specified type of application program that exists in said at least one other computer device (col. 7, lines 54 through col. 8, lines 20).

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10. Referring to claim 5, Wicks reference discloses a local network connection unit configured to establish a connection to a local network different from the computer network, the local network being a network locally defined among the portable terminal device and at least one other computer device (Fig. 1); and a processing unit (CPU inside mobile 110); however, Wicks fails teach the processing unit obtains the prescribed information by transmitting to said at least one other computer device via the local network a first request for obtaining the identification information for identifying said specified type of application program, receiving a reply containing the identification information from said at least one other computer device via the local network in response to the first request, transmitting to said at least one other computer device via the local network a second request for obtain the prescribed information according to the identification information contained in the reply, and receiving the prescribed information from said at least one other computer device via the local network in response to the second request.

Schwartz teaches the processing unit obtains the prescribed information by transmitting to said at least one other computer device via the local network a first request for obtaining the identification information for identifying said specified type of application program, receiving a reply containing the identification information from said at least one other computer device via the local network in response to the first request, transmitting to said at least one other computer device via the local network a second request for obtain the prescribed information according to the identification information contained in the reply, and receiving the prescribed information from said at least one

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other computer device via the local network in response to the second request (col. 7, 2<sup>nd</sup> paragraph).

It would have been obvious to one of the ordinary skill in the art at the time of the invention was made to incorporate Schwartz's teaching into Wicks's method to obtain the prescribed information according to specific type of application program, in order for the computer to check whether the portable terminal is entitled to initiate a session.

11. Referring to claim 6, Wicks reference disclose the local network enables data exchanges between the portable terminal device and said at least one other computer device by using a wireless LAN (Local Area Network), a point-to-point wireless device, or an infrared as a medium (col. 4, lines 63-67).

12. Referring to claim 7, Wicks reference disclose the processing unit carries out data exchanges on the local network only with a computer device that is authenticated by a prescribed mutual authentication procedure (col. 11, lines 17-32).

13. Referring to claim 8, Wicks reference disclose the processing unit carries out a procedure for obtaining the prescribed information for each one of a plurality of application programs installed on the portable terminal device, at a timing of activation of the portable terminal device (col. 10, lines 1-24).

14. Referring to claim 9, Wicks reference disclose the processing unit carries out a procedure for obtaining the prescribed information for the application program at a timing of activation of the application program (col. 10, lines 1-24).

15. Referring to claim 10, Wicks reference disclose the processing unit carries out a procedure for transferring the prescribed information obtained from a first computer



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device via the local network to a second computer connected to the local network (col. 9, lines 36-44).

16. Referring to claim 11, Wicks reference disclose the processing unit carries out a procedure for transferring the prescribed information obtained via the local network to one or a plurality of computer devices connected to the local network (col. 9, lines 36-44).

17. Referring to claim 12, Wicks reference discloses the network connection unit carries out communications via the computer network through a radio base station (Fig. 1; col. 9, lines 36-44).

18. Referring to claim 24, Wicks reference discloses the processing unit executes the application program for an email handler (Abstract).

***Claim Rejections - 35 USC § 102***

19. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

20. Claims 15,16,18,20 and 21, rejected under 35 U.S.C. 102(e) as being anticipated by Schwartz.

21. Referring to claims 15,18, and 20, Schwartz reference discloses a local network connection unit configured to establish a connection to a local network that is locally defined among the computer device and a portable terminal device (Schwartz's figure

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discloses a portable device 11 is locally connected to the computer 31); a processing unit configured to return a reply containing an identification information for identifying a specified type of application program that exists in the computer device, or a prescribed information related to said specified type of application program that is setup data or input data of the application program to be entered into the portable terminal device in a form utilizable by the application program, without using a user interface of the portable terminal device, in response to a request received from the portable terminal device via the local network through the local network connection unit utilizable (e.g. computer 31 received the HTTP information from the server 51 and automatically translated the HTTP information into HDTP information which is utilizable from the portable device) (col. 6, lines 35-44).

22. Referring to claim 16, Schwartz reference discloses processing unit receives from the portable terminal device via the local network a first request for obtaining the identification information, transmits a first reply containing the identification information to the portable terminal device via the local network in response to the first request, receives from the portable terminal device via the local network a second request for obtaining the prescribed information, and transmits a second reply containing the prescribed information to the portable terminal device via the local network in response to the second request (col. 7, 2<sup>nd</sup> paragraph).

23. Referring to claim 21, Schwartz reference discloses a local network connection unit configured to establish a connection to a local network that is locally defined among the computer device and a portable terminal (e.g. portable device 11 communicate with computer 31) and identifying a specified type of application program that exists in the

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computer device, or a prescribed information related to said specified type of application program, in response to a request received from the portable terminal device via the local network through the local network connection unit (col. 7, 2<sup>nd</sup> paragraph) the data to be downloaded into the computer device was previously uploaded to the portable terminal device from another device via the local network, and the data to be downloaded into the computer device only passes through the portable terminal device as the data is sent from the another computer device to the computer device (col. 6, lines 36-44).

### ***Conclusion***

24. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

**Nkita et al. U.S. Patent 6,622,174**

**Gernert et al. U.S. Patent 6,694,366**

**Flanagin et al. U.S. Patent 6,272,545**

**Schwartz et al. U.S. Patent 6,473,609**

**Laurson et al. U.S. Patent 6,065,120**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Phuoc H. Nguyen whose telephone number is 703-305-5315. The examiner can normally be reached on Mon -Thu ( 7AM-4:30PM ) and off every other Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David A Wiley can be reached on 703-308-5221. The fax phone numbers for

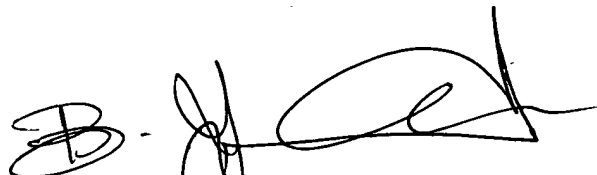
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the organization where this application or proceeding is assigned are 703-746-7239 for regular communications and 703-746-7238 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-305-3900.

Phuoc H. Nguyen  
Examiner  
Art Unit 2143

November 18, 2004



BUNJOB JAROENCHONWANT  
PRIMARY EXAMINER